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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. ARAX-011

First Inventor or Application Identifier Tipin Ben Chang, et al.

Title XML User Interface for a Workflow Server

Express Mail Label No. EL209954274US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

1. ☐ \* Fee Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 61]  
 (preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
4. Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
 (for continuation/divisional with Box 16 completed)
- c. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting  
 inventor(s) named in the prior application,  
 see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
 (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

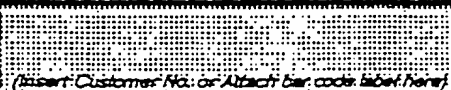
7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
 (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application,  
 (PTO/SB/05-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)
15. ☒ Other: Transmittal Letter

NOTE: IF YOU ARE IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
 FEES, A SMALL ENTITY STATEMENT IS REQUIRED BY 37 C.F.R. § 1.77, EXCEPT  
 IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON BY 37 C.F.R. § 1.77.

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_
- Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	David B. Ritchie				
	D'Alessandro & Ritchie				
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Name (Print/Type)	Marc S. Hanish	Registration No. (Attorney/Agent)	42,626
Signature		Date	3/1/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	) Art Unit:
Tipin Ben Chang and Chung-Jen Ho	) Examiner:
Serial No. [Not yet assigned]	)
Filed: March 9, 2000	)
For: XML USER INTERFACE FOR A	)
<u>WORKFLOW SERVER</u>	)

**CERTIFICATE OF MAILING**

"Express Mail" mailing label no: EL209954274US

Date of Deposit: March 9, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

  
 Tara Hayden

**TRANSMITTAL LETTER**

Honorable Assistant Commissioner  
 for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Dear Sir:

Enclosed for filing please find the patent application for an invention entitled, "XML USER INTERFACE FOR A WORKFLOW SERVER", filed on behalf of Confer Software, Inc., assignee from inventors Tipin Ben Chang and Chung-Jen Ho, including Utility Patent Application Transmittal, 55 pages of specification, 5 pages of claims, 5 sheets of drawing figures, and one page of Abstract.

The attorney's Docket Number is ARAX-011.

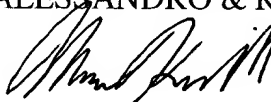
006000-TE22560

Kindly address all communications regarding this application to:

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D'Alessandro & Ritchie  
P.O. Box 640640  
San Jose, CA 95164-0640  
Telephone (408) 441-1100

No fee is being paid at this time.

Respectfully submitted,  
D'ALESSANDRO & RITCHIE



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Marc S. Hanish  
Reg. No. 42,626

Dated: March 9, 2000

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